



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of:** Donoho et al

**Docket No.:** UNIV0001D3-C

**Serial No.:** 09/997,790

**Art Unit:** 2145

**Filed:** 30 November 2001

**Examiner:** Cardone, Jason D.

**Title:** Method and Apparatus For Inspecting The Properties of a Computer

July 21, 2006

Assistant Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

This Information Disclosure Statement is submitted:

- ( ) under 37 CFR 1.97(b), or  
(within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- (X) under 37 CFR 1.97(c) together with either a:
  - ( ) **Certification** under 37 CFR 1.97(e), or
  - (X) a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ( ) under 37 CFR 1.97(d) together with a:
  - ( ) Certification under 37 CFR 1.97(e), and
  - ( ) a \$240.00 fee under 37 CFR 1.17(p)(1.97)(c), and
  - ( ) a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1.97)(d)  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)
- (X) The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 07-1445 (Order No. UNIV0001D3-C). A copy of this sheet is enclosed for accounting purposes.

(X) Applicant(s) submit herewith Form PTO SB/08 -- Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.25.

( ) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 156(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

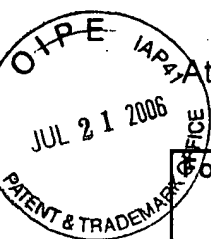
It is requested that the information disclosed herein be made of record in this application.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Jeffrey Brill", written in a cursive style.

Jeffrey Brill  
Reg. No. 51,198

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Attorney Docket No. UNIV0001D3-C

<b>Form 1449 (Modified)</b>  <b>Information Disclosure Statement By Applicant</b>  (Use Several Sheets if Necessary)	Atty. Docket No. UNIV0001D3-C Applicant: Donoho et al. Filing Date: November 30, 2001	Serial No.: 09/997,790  Group: 2145
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**U.S. Patent Documents**

Examiner Initial	No.	Patent No.	Date	Patentee	Class	class	Date
	A	4,517,468	5/14/85	Kemper et al.	290	52	4/30/84
	B	4,654,852	3/31/87	Bentley et al.	371	29	5/15/84
	C	4,823,343	4/18/89	Takahashi	371	16	3/7/88
	D	4,935,870					
	E	5,063,523	1/5/91	Vrenjak	364	514	11/16/89
	F	5,115,501	5/19/92	Kerr	395	600	11/4/88
	G	5,155,847	10/13/92	Kirouac et al.	395	600	8/3/88
	H	5,179,695	1/12/93	Derr et al.	395	575	9/4/90
	I	5,245,532	9/14/93	Mourier	364	400	6/12/89
	J	5,245,656	9/14/936	Loeb et al.	380	23	9/9/92
	K	5,247,683	9/21/93	Holmes et al.	395	700	6/19/91
	L	5,287,505	2/15/94	Calvert et al.	395	600	2/16/93
	M	5,349,674	9/20/94	Calvert et al.	395	800	8/17/90
	N	5,379,409	1/3/95	Ishikawa	395	575	3/27/91
	O	5,381,403	1/10/95	Maher et al.	370	13	4/2/93
	P	5,388,252	2/7/95	Dreste et al.	395	575	9/7/90
	Q	5,428,778	1/27/95	Brookes	395	600	9/13/94
	R	5,446,919	8/29/95	Wilkins	455	6.2	10/9/91
	S	5,475,625	12/12/95	Glaschick	395	600	11/17/94
	T	5,491,791	2/13/96	Glowny et al.	395	183.13	1/13/95
	U	5,649,186	7/15/97	Ferguson	395	610	8/7/95
	V	5,892,909	4/6/99	Grasso et al.	395	200.31	1/31/97
	W	5,924,094	7/13/99	Sutter	707	10	11/1/96
	X	5,974,409	10/26/99	Sanu et al	707	3	8/23/95
	Y	5,974,446	10/26/99	Sonnenreich et al	709	204	10/24/96
	Z	5,984,508	11/16/99	Hurley	364	479.07	6/18/97
	AA	5,987,440	11/16/99	O'Neil et al	705	44	7/22/97
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	CC	6,219,788	4/17/01	Flavn et al	713	194	5/14/98
	DD	6,256,664	7/3/01	Donoho et al	709	204	3/19/99
	EE	6,259,891	7/10/01	Allen	455	3.02	9/4/97
	FF	6,366,956	4/2/02	Krishnan	709	223	1/29/97
	GG	6,421,781	7/16/02	Fox et al	713	201	8/10/98

**Foreign Patent or Published Foreign Patent Application**

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	HH	03/019330	3/6/.03	WO	G06F		X	
	II	WO 99/28837	6/10/99	PCT	G06F	17/30	X	

	JJ	WO 99/28838	6/10/99	PCT	G06F	17/30	X	
	KK	WO 99/39263	8/5/99	PCT	G06F	9/44	X	
	LL	WO 99/39271	8/5/99	PCT	G06F	13/00	X	
	MM	WO 97/07015	2/27/97	PCT	B62M	3/04		X

## Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	NN	Maximum Security , a Hacker's Guide tp Protecting Your Internet Site and Network", Anonymous, Sams.net Publishing, (1997)
	OO	"Network Security: How to Plan for It and Achieve It", Richard H. Baker, McGraw-Hill, Inc. (1995)
	RR	Mobile Code Security", Dr. Laurie Brown, <a href="http://www.adfa.oz.au">http:// www.adfa.oz.au</a> , (1999)
	PP	E-Mail with a Mind of It's Own: The Safe-Tcl Language for Enabled Mail", Nathaniel S. Borenstein, Bellcore - for ULPAA '94, Barcelona
	QQ	MIME: Mechanisms for Specifying and Describing the Format of Internet Message Bodies", N. Borenstein and N. Freed, Network Working Group (Sept. 1993)
	RR	"Agent Sourcebook, Caglayan and Harrison, Wiley Computer Publishing, (1997)
	SS	"Channel Definition Format" Ellerman, Microsoft Corp. (1997)
	TT	Notification for Proxy Caches", Hallam-Baker, W3C Working Draft-Proxy 960221
	UU	Controlled Information Sharing in a Distributed Ecology of Cooperating Agents or How to Gossip Without Spilling the Beans", L. Foner, , Fifth Conference on Computers, Freedom and Privacy (1995)
	VV	Introducing SSL and Certificates", F. Hirsch, <a href="http://www.celocom.com/fag/sslandcerts.html">www.celocom.com/fag/sslandcerts.html</a> (1997)
	WW	Digital Signature Initiative", A. Kotok, <a href="http://www.w3c.org/dsig/">www.w3c.org/dsig/</a> , (1998)
	XX	Rating Services and Rating Systems (and their Machine Readable Descriptions)", J. Miller et al., <a href="http://www.w3.org/TR/REC-PICS-services-961031">www.w3.org/TR/REC-PICS-services-961031</a>
	YY	Java Security", S. Oaks, O'Reilly & Associates, (1998)
	ZZ	Security in Computing", C. Pfleeger, <a href="http://www.prenhall.com">http:// www.prenhall.com</a> (1997)
	A1	Pretty Good Privacy- PGP for Personal Privacy, Version 5.0," PGP User's Guide
	B1	"Privacy and Profiling on the Web", <a href="http://www.w3.org/TR/NOTE-Web-privacy.html">www.w3.org/TR/NOTE-Web-privacy.html</a> , (1997)
	C1	"Lightweight Directory Access Protocol", W. Yeong et al., <a href="http://www.umich.edu/~dirsvcs/ldap/doc/rfc/rfc1777.txt">www.umich.edu/~dirsvcs/ldap/doc/rfc/rfc1777.txt</a> (1995)
	D1	Gabber, E., P. Gibbons, Y. Matias, and A. Mayer, How to Make Personalized Web Browsing Simple, Secure, and Anonymous, Bell Laboratories, 1997

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.